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PATENT APPLICATION  
TECHNOLOGY CENTER 2800  
AUG 28 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dan Botez, James G. Lopez,  
Gunawan Witjaksono

Date: August 22, 2002

Docket No.: 032026:0471

Serial No.: 09/635,968

Group Art Unit: 2828

Filed: August 10, 2000

Examiner: Cornelius H. Jackson

For: **SINGLE MODE, SINGLE LOBE SURFACE EMITTING DISTRIBUTED  
FEEDBACK SEMICONDUCTOR LASER**

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Commissioner for  
Patents, Washington, D.C. 20231 on August 22, 2002.

Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

(Signature)

August 22, 2002

(Date of Signature)

**TRANSMITTAL OF FORMAL DRAWINGS**

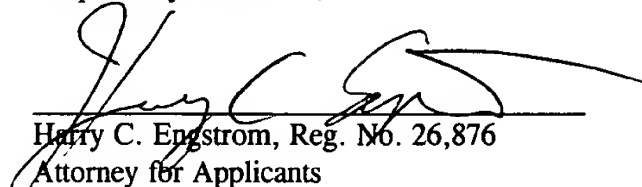
Commissioner for Patents  
Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

Enclosed for filing with respect to the above-referenced U.S. Patent Application  
are sixteen (16) sheets of formal drawings to be substituted for the drawings originally filed in  
this application.

It is requested that applicants' undersigned attorney be advised of any further requirements with respect to the formal drawings.

Respectfully Submitted,



Harry C. Engstrom, Reg. No. 26,876  
Attorney for Applicants  
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(608) 258-4207

FORM PTO-1083

In re application of:  
Serial No. 09/635,968

Filed: August 10, 2000

For: SINGLE MODE, SINGLE LOBE SURFACE EMITTING DISTRIBUTED FEEDBACK SEMICONDUCTOR LASER

COMMISSIONER FOR PATENTS  
Patent and Trademark Office  
Washington, D.C. 20231



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Case Docket No. 032026:0471

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Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 CFR §1.9 and §1.27 is enclosed.
- ☒ No additional fee is required.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	16	MINUS	43	= 0	x 9 =	\$ 0		x 18 =	\$
INDEP.	3	MINUS	3	= 0	X 42 =	\$ 0		x 84 =	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ 140 =	\$		+ 280 =	\$
					TOTAL	\$ 0	OR	TOTAL	\$
					ADDIT. FEE				

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1447. A duplicate copy of this sheet is attached.
- ☒ Any filing fees under 37 CFR §1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR §1.17.

Please stamp the enclosed postcard with the filing date and serial number and return the same to me.

Respectfully submitted,

*Harry C. Engstrom*  
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